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Atty. Dkt. No. 057799-2003

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: MICHAEL D. BROWN
Title: METHOD AND APPARATUS FOR
TRANSFERRING WEALTH
Appl. No.: TBA
Filing Date: HEREWITH
Examiner: TBA
Art Unit: TBA

| | |
|--|-----------------------------|
| CERTIFICATE OF EXPRESS MAILING | |
| I hereby certify that this correspondence is being deposited with the United States Postal Service's "Express Mail Post Office To Addressee" service under 37 C.F.R. § 1.10 on the date indicated below and is addressed to: Commissioner for Patents, Washington, D.C. 20231. | |
| EL811325835US (Express Mail Label Number) | 1/9/02 (Date of Deposit) |
| Suzanne L. Simpson (Printed Name) | |
|  (Signature) | |

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**UTILITY PATENT APPLICATION
TRANSMITTAL**

Commissioner for Patents
Box PATENT APPLICATION
Washington, D.C. 20231

Sir:

Transmitted herewith for filing under 37 C.F.R. § 1.53(b) is the nonprovisional utility patent application of:

Michael D. Brown
19 Taggart
Irvine, CA 92612

Applicant claims small entity status under 37 CFR 1.27

Enclosed are:

- Specification, Claim(s), and Abstract (14 pages).
- Informal drawings (4 sheets, Figures 1-4).
- Declaration and Power of Attorney (3 pages).
- Assignment of the invention to Spectrum Group Investments, LLC.
- Assignment Recordation Cover Sheet.
- Small Entity statement.
- Request for application not to be published with certification under 35 USC 122(b)(2)(B)(i).

- Information Disclosure Statement.
- Form PTO-1449 with copies of ___ listed reference(s).
- Application Data Sheet (37 CFR 1.76).

The filing fee is calculated below:

| | Claims as Filed | Included in Basic Fee | Extra Claims | Rate | Fee Totals |
|---|--|--------------------------|-------------------|-----------|---------------|
| Basic Fee | | | | \$740.00 | \$740.00 |
| Total Claims: | 16 | - 20 | = 0 | x \$18.00 | = \$0.00 |
| Independents: | 6 | - 3 | = 3 | x \$84.00 | = \$252.00 |
| If any Multiple Dependent Claim(s) present: | | | + \$280.00 | = | \$0.00 |
| | | | SUBTOTAL: | = | \$992.00 |
| <input checked="" type="checkbox"/> | Small Entity Fees Apply (subtract ½ of above): | | | | = \$496.00 |
| | | | TOTAL FILING FEE: | = | \$496.00 |

- A check in the amount of \$496.00 to cover the filing fee is enclosed.
- The required filing fees are not enclosed but will be submitted in response to the Notice to File Missing Parts of Application.
- The Commissioner is hereby authorized to charge any additional fees which may be required regarding this application under 37 C.F.R. §§ 1.16-1.17, or credit any overpayment, to Deposit Account No. 50-0872. Should no proper payment be enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 50-0872.

Please direct all correspondence to the undersigned attorney or agent at the address indicated below.

Respectfully submitted,

Date Jan. 9, 2002

By Bernard L. Kleinke

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